

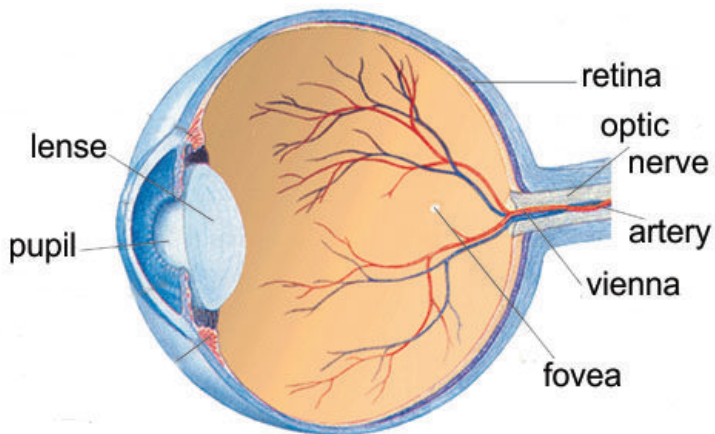
# Analysis of cusps and characterization of the existence set in an association field model on the retinal sphere

Alexey Mashtakov, Remco Duits  
Yuri Sachkov, Ivan Beschastnyi

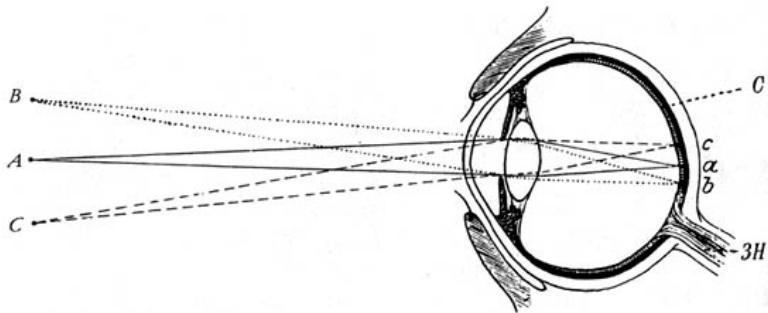
Eindhoven University of Technology, Program Systems Institute of RAS

International Conference on  
Differential Equations and Dynamical Systems  
Suzdal, 04.07.2014-09.07.2014

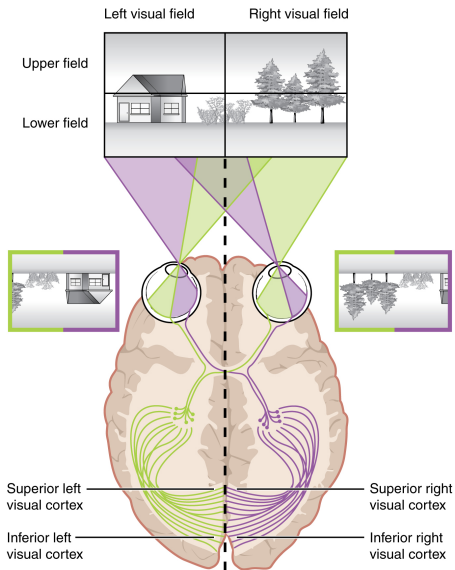
# Structure of Human Eye



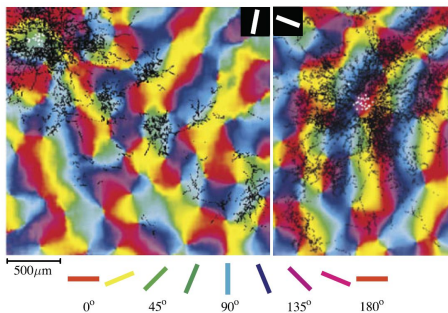
# Perception of Visual Information in Human Eye



# Perception of Visual Information in Human Brain

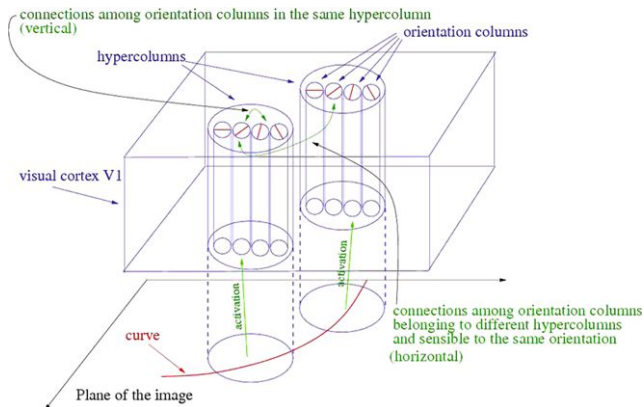


# Receptive Fields in the Visual Cortex



Receptive fields in the visual cortex of many mammals are tuned to various locations and orientations. Assemblies of oriented receptive fields are grouped together on the surface of the primary visual cortex in a pinwheel like structure. Replicated from **Bosking, W.H., et al.**, Orientation selectivity and the arrangement of horizontal connections in tree shrew striate cortex. *J. Neurosci.* 17(6), 2112–2127, 1997.

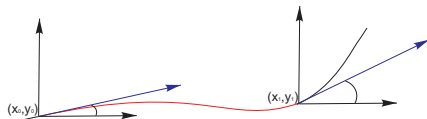
# A Model of the Primary Visual Cortex V1



Replicated from **R. Duits, U. Boscain, F. Rossi, Y. Sachkov**,  
Association Fields via Cuspless Sub-Riemannian Geodesics in  $SE(2)$ ,  
*J Math Imaging Vis*, 2013.

# Contours Completion

- Neurogeometry of vision:
  - Petitot J., The neurogeometry of pinwheels as a sub-Riemannian contact structure, 2003
  - Petitot J., Neurogeometrie de la vision. Modeles mathematiques et physiques des architectures fonctionelles, 2008
- Variational principle: a completed arc must have minimum length in the space  $(x, y, \theta)$ :



$$\int \sqrt{\dot{x}^2 + \dot{y}^2 + \xi^2 \dot{\theta}^2} dt \rightarrow \min .$$

- Sub-Riemannian problem on  $SE(2)$ .

# Sub-Riemannian geometry problem on SE(2)

- Optimal Control Problem

$$\dot{x} = u_1 \cos \theta, \quad \dot{y} = u_1 \sin \theta, \quad \dot{\theta} = u_2,$$

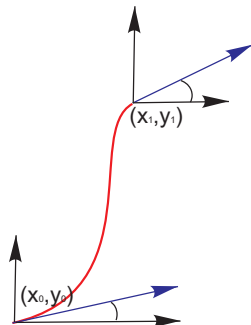
$$q = (x, y, \theta) \in M = \mathbb{R}_{x,y}^2 \times S_\theta^1,$$

$$u = (u_1, u_2) \in \mathbb{R}^2,$$

$$q(0) = q_0 = (0, 0, 0),$$

$$q(t_1) = q_1 = (x_1, y_1, \theta_1),$$

$$I = \int_0^{t_1} \sqrt{u_1^2 + \xi^2 u_2^2} dt \rightarrow \min .$$



- Solved by Yuri Sachkov (Cut locus and optimal synthesis in the sub-Riemannian problem on the group of motions of a plane, 2010).

# Improvement of the Flat Model

Motivations: RETINA IS NOT FLAT: BOTH IMPORTANT FOR CORTICAL MODELING AS FOR PROCESSING RETINAL IMAGES IN LONG RUN.

We consider the problem of minimizing  $\int_0^l \sqrt{1 + \xi^2 k_g^2(s)} ds$  for a curve on a sphere with fixed boundary points and directions. The total length  $l$  is free,  $s$  denotes the spherical arclength, and  $k_g$  denotes the geodesic curvature of the sphere. This problem is a natural extension of a model due to Petitot, Citti & Sarti, which additionally takes into account the spherical nature of the retina.

# Problem statement ( $P_{\text{curve}}$ )

$$n = (n_1, n_2, n_3)^T \in S^2, \gamma(s) = n(s).$$

$$\gamma(0) = (1, 0, 0)^T, \gamma(l) = \gamma_1,$$

$$\gamma'(0) = (0, 0, 1)^T, \gamma'(l) = \gamma'_1,$$

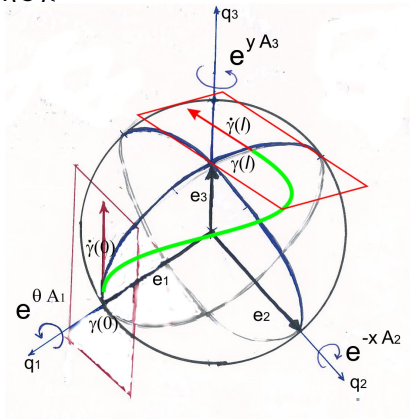
$$E(\gamma(\cdot)) =$$

$$\int_0^l \sqrt{1 + \xi^2 k_g^2(s)} ds \rightarrow \min,$$

$$s = \int_0^s 1 d\sigma =$$

$$\int_0^s \sqrt{\sum_{i=1}^3 n'_i(\sigma)^2} d\sigma,$$

$$k_g(s) = \gamma''(s)(\gamma(s) \times \gamma'(s)).$$



# Results and Plans

## Results:

- Lift  $\mathbf{P}_{\text{curve}}$  to Sub-Riemannian Problem on  $SO(3)$ ,
- Control System in Quaternion, Matrix and Vector Representations,
- Hamiltonian System for Geodesics,
- Symmetries of Exponential Mapping,
- Integration of Hamiltonian System in Spherical Arclength,
- Evaluation of Cusp Time  $s_{\text{max}}$  in Elliptic Case  $\xi > 1$ .

## Plans:

- Projections of Stationary Curves from  $\mathbf{P}_{\text{mec}}$  to  $\mathbf{P}_{\text{curve}}$ ,
- Plot of Existence Cones of Cuspless Geodesics.

# $P_{\text{mec}}$ : Lift $P_{\text{curve}}$ to SR-Problem on $SO(3)$

- $SO(3) \ni R(x, y, \theta) = e^{yA_3} e^{-xA_2} e^{\theta A_1} =$

$$= \begin{pmatrix} cx\ cy & -cy\ sz\ sx - cz\ sy & sz\ sy - cz\ cy\ sx \\ cx\ sy & cz\ cy - sz\ sx\ sy & -cy\ sz - cz\ sx\ sy \\ sx & cx\ sz & cz\ cx \end{pmatrix},$$

$$\begin{cases} cx = \cos x, \\ sx = \sin x, \end{cases} \quad \begin{cases} cy = \cos y, \\ sy = \sin y, \end{cases} \quad \begin{cases} cz = \cos \theta, \\ sz = \sin \theta, \end{cases}$$

where  $(x, y) \in S^2$ ,  $\theta \in S^1$ .

- Projection to  $P_{\text{curve}}$ :

$$\gamma(s) = R(s)e_1, \quad \gamma'(s) = R(s)e_3.$$

## $P_{\text{mec}}$ : Sub-Riemannian Problem on $SO(3)$

The Lie algebra of left-invariant vector fields on the Lie group  $SO(3)$

$$L = \text{span}(X_1, X_2, X_3), \quad \begin{cases} X_1 = -R A_2, \\ X_2 = R A_1, \\ X_3 = [X_2, X_1] = -R A_3, \end{cases}$$

where  $R \in SO(3)$  and  $A_i$ ,  $i = 1, 2, 3$  are generators of Lie algebra  $\mathfrak{so}(3) = \text{span}(A_1, A_2, A_3)$ :

$$A_1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{pmatrix}, \quad A_2 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}, \quad A_3 = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

# $P_{\text{mec}}$ : Sub-Riemannian Problem on $SO(3)$

- Control System

$$\dot{R} = u_1 X_1 + u_2 X_2.$$

- Boundary Conditions

$$R(0) = \text{Id} \Leftrightarrow \begin{cases} x(0) = 0, \\ y(0) = 0, \\ \theta(0) = 0, \end{cases} \quad R(t_1) = R_1 \Leftrightarrow \begin{cases} x(t_1) = x_1, \\ y(t_1) = y_1, \\ \theta(t_1) = \theta_1. \end{cases}$$

- Cost Functional

$$I = \int_0^{t_1} \sqrt{u_1^2 + \xi^2 u_2^2} dt \rightarrow \min.$$

- 

$$R \in SO(3), (u_1, u_2) \in \mathbb{R}^2, \xi > 0.$$

# $P_{\text{mec}}$ in Vector Representation

- By collecting coefficients at  $\frac{\partial R}{\partial x}$ ,  $\frac{\partial R}{\partial y}$ ,  $\frac{\partial R}{\partial \theta}$  we can represent the control system in the following form:

$$\dot{\nu} = \begin{pmatrix} \dot{x} \\ \dot{y} \\ \dot{\theta} \end{pmatrix} = \begin{pmatrix} \cos \theta \\ -\sec x \sin \theta \\ \sin \theta \tan x \end{pmatrix} u_1 + \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} u_2,$$

where  $\nu = (x, y, \theta)^T$ .

# $P_{mec}$ in Quaternion Representation

- Components of Quaternion

$$\begin{cases} q_0 = \frac{1}{\delta}((cz+1)(cx+1)(cy+1) - sz sx sy), \\ q_1 = \frac{1}{\delta}(sz(cx+1)(cy+1) + (cz+1) sx sy), \\ q_2 = \frac{1}{\delta}(sz(cx+1) sy - (cz+1) sx(cy+1)), \\ q_3 = \frac{1}{\delta}(sz sx(cy+1) + (cz+1)(cx+1) sy), \end{cases}$$

$$\text{where } \delta = 2\sqrt{2}\sqrt{cz+1}\sqrt{cx+1}\sqrt{cy+1}.$$

- Control System

$$\dot{q} = \frac{(q_2, q_3, -q_0, -q_1)^T}{2} u_1 + \frac{(-q_1, q_0, q_3, -q_2)^T}{2} u_2.$$

- Boundary Conditions  $q(0) = 1, \quad q(t_1) = q^1.$
- Cost Functional  $I = \int_0^{t_1} \sqrt{u_1^2 + \xi^2 u_2^2} dt \rightarrow \min.$

# Pontryagin Maximum Principle

- Left Invariant Hamiltonians  $h_i = \langle \lambda, X_i \rangle$ ,  $i = 1, 2, 3$
- Maximality Condition

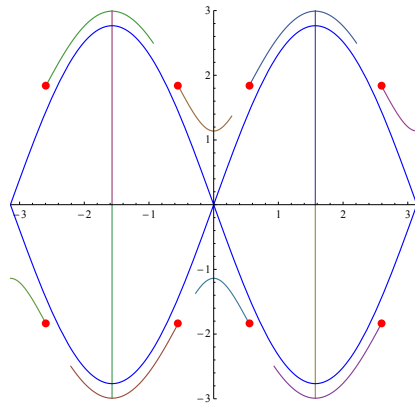
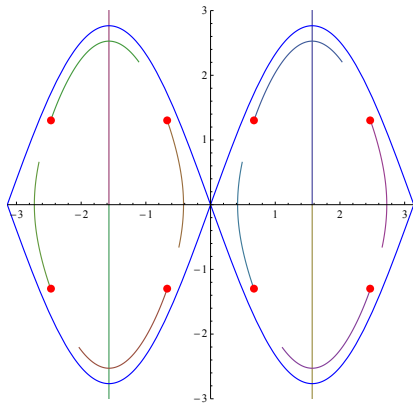
$$u_1 = h_1, \quad u_2 = \frac{h_2}{\xi^2}.$$

- Hamiltonian System

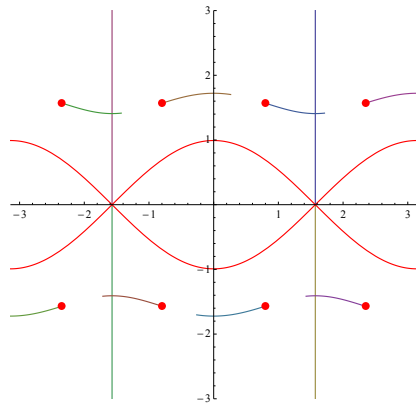
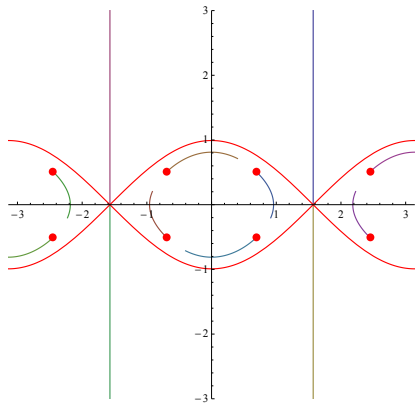
$$\begin{cases} \dot{h}_1 = \frac{1}{\xi^2} h_2 h_3, \\ \dot{h}_2 = -h_1 h_3, \\ \dot{h}_3 = (1 - \frac{1}{\xi^2}) h_1 h_2 \end{cases} \quad \text{— vertical part.}$$

$$\begin{cases} \dot{x} = \cos \theta h_1, \\ \dot{y} = -\sec x \sin \theta h_1, \\ \dot{\theta} = \sin \theta \tan x h_1 + \frac{h_2}{\xi^2} \end{cases} \quad \text{— horizontal part.}$$

# Symmetries of trajectories of pendulum for $\xi < 1$



# Symmetries of trajectories of pendulum for $\xi > 1$



## Solution in Spherical Arclength

For any  $s \in [0, s_{\max})$ ,  $h_1(0) > 0$  the vertical subsystem is equivalent to the following linear system:

$$\begin{cases} h_1 = \frac{ds}{dt} > 0, \\ h_2'(s) = -h_3(s), & h_2(0) = h_2^0, \\ h_3'(s) = (1 - \frac{1}{\xi^2})h_2(s), & h_3(0) = h_3^0, \end{cases}$$

which has the following solution for all  $\xi > 0$ :

$$\begin{cases} h_2(s) = h_2(0) \cosh\left(\frac{\sqrt{1-\xi^2}}{\xi}s\right) - h_3(0) \frac{\xi}{\sqrt{1-\xi^2}} \sinh\left(\frac{\sqrt{1-\xi^2}}{\xi}s\right), \\ h_3(s) = h_3(0) \cosh\left(\frac{\sqrt{1-\xi^2}}{\xi}s\right) - h_2(0) \frac{\sqrt{1-\xi^2}}{\xi} \sinh\left(\frac{\sqrt{1-\xi^2}}{\xi}s\right), \\ h_1(s) = \sqrt{1 - \frac{h_2^2(s)}{\xi^2}}, \end{cases}$$

# Connection Between Elliptic and Hyperbolic Cases

$$\chi^2 = \frac{1}{\xi^2} - 1 \quad \Leftrightarrow \quad \xi = \frac{1}{\sqrt{\chi^2 + 1}}, \quad \chi = \sqrt{\frac{1}{\xi^2} - 1}.$$

- h)  $\chi \in \mathbb{R}^+$  - hyperbolic case  $\xi \in (0, 1)$ ,
- l)  $\chi = 0$  - linear case  $\xi = 1$ .
- e)  $\chi = ia$ ,  $a \in (0, 1)$  - elliptic case  $\xi > 1$ .

$$\begin{cases} \tilde{\chi} = \frac{i\chi}{\sqrt{\chi^2+1}}, & \chi \rightarrow -i\frac{\tilde{\chi}}{\sqrt{\tilde{\chi}^2+1}}, \\ \tilde{s} = -is\sqrt{\chi^2+1}, & s \rightarrow i\tilde{s}\sqrt{\tilde{\chi}^2+1}, \\ \tilde{h}_2(\tilde{s}) = ih_2(s), & h_2(s) \rightarrow -i\tilde{h}_2(\tilde{s}), \\ \tilde{h}_3(\tilde{s}) = -\frac{h_3(s)}{\sqrt{\chi^2+1}}, & h_3(s) \rightarrow -\frac{\tilde{h}_3(\tilde{s})}{\sqrt{\tilde{\chi}^2+1}}. \end{cases}$$

## Evaluation of $s_{\max}$ in Elliptic Case

To compute  $s_{\max}$  we need to find the minimal positive root of equation  $h_1(s) = 0$ :

$$s_{\max} = \min\{s > 0 | h_1(s) = 0\} = \min\{s > 0 | h_2(s) = \pm\xi\}.$$

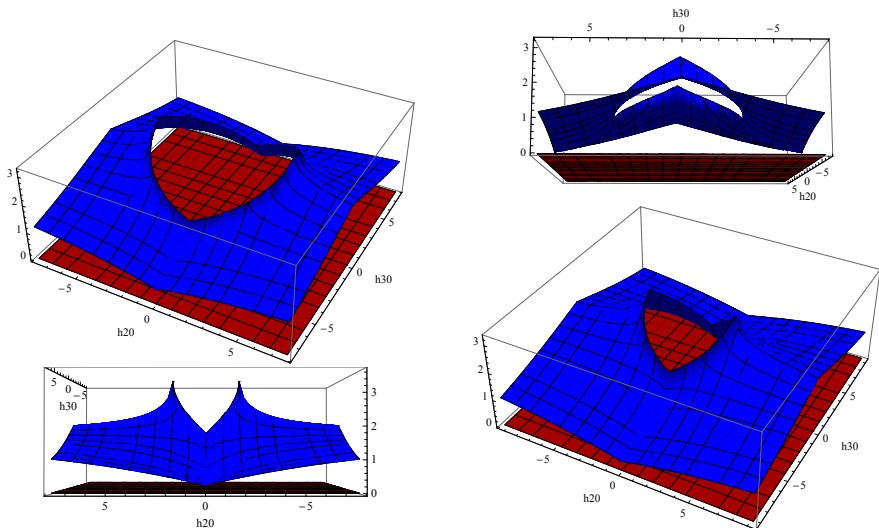
$$s_{\max}(\xi, h_2^0, h_3^0) = \frac{\xi}{\sqrt{\xi^2 - 1}} \arccos\left(\frac{\xi(A+B)}{C}\right).$$

$$A = |h_2^0| (1 - \xi^2),$$

$$B = |h_3^0| \sqrt{-(h_2^0)^2 + (1 + (h_2^0)^2 + (h_3^0)^2) \xi^2 - \xi^4},$$

$$C = (h_3^0)^2 \xi^2 + (h_2^0)^2 (\xi^2 - 1).$$

# Plot of $s_{max}$ in Elliptic Case



# Retina Check Project



Thank you for your attention!